

This is a preview of "ANSI/AAMI/IEC 60601-...". Click here to purchase the full version from the ANSI store.

American National Standard



PREVIEW COPY

This is a preview edition of an AAMI guidance document and is intended to allow potential purchasers to evaluate the content of the document before making a purchasing decision.

For a complete copy of this AAMI document, contact AAMI at +1-977-249-8226 or visit www.aami.org.

ANSI/AAMI/ IEC 60601- 2-2:2017

Medical electrical
equipment—Part 2-2:
Particular requirements
for the basic safety and
essential performance of high
frequency surgical equipment
and high frequency surgical
accessories

Objectives and uses of AAMI standards and recommended practices

It is most important that the objectives and potential uses of an AAMI product standard or recommended practice are clearly understood. The objectives of AAMI's technical development program derive from AAMI's overall mission: the advancement of medical instrumentation. Essential to such advancement are (1) a continued increase in the safe and effective application of current technologies to patient care, and (2) the encouragement of new technologies. It is AAMI's view that standards and recommended practices can contribute significantly to the advancement of medical instrumentation, provided that they are drafted with attention to these objectives and provided that arbitrary and restrictive uses are avoided.

A voluntary *standard* for a *medical device* recommends to the manufacturer the information that should be provided with or on the product, basic safety and performance criteria that should be considered in qualifying the device for clinical use, and the measurement techniques that can be used to determine whether the device conforms with the safety and performance criteria and/or to compare the performance characteristics of different products. Some standards emphasize the information that should be provided with the device, including performance characteristics, instructions for use, warnings and precautions, and other data considered important in ensuring the safe and effective use of the device in the clinical environment. Recommending the disclosure of performance characteristics often necessitates the development of specialized test methods to facilitate uniformity in reporting; reaching consensus on these tests can represent a considerable part of committee work. When a drafting committee determines that clinical concerns warrant the establishment of *minimum* safety and performance criteria, referee tests must be provided and the reasons for establishing the criteria must be documented in the rationale.

A *recommended practice* provides guidelines for the use, care, and/or processing of a medical device or system. A recommended practice does not address device performance *per se*, but rather procedures and practices that will help ensure that a device is used safely and effectively and that its performance will be maintained.

Although a device standard is primarily directed to the manufacturer, it may also be of value to the potential purchaser or user of the device as a frame of reference for device evaluation. Similarly, even though a recommended practice is usually oriented towards healthcare professionals, it may be useful to the manufacturer in better understanding the environment in which a medical device will be used. Also, some recommended practices, while not addressing device performance criteria, provide guidelines to industrial personnel on such subjects as sterilization processing, methods of collecting data to establish safety and efficacy, human engineering, and other processing or evaluation techniques; such guidelines may be useful to health care professionals in understanding industrial practices.

In determining whether an AAMI standard or recommended practice is relevant to the specific needs of a potential user of the document, several important concepts must be recognized:

All AAMI standards and recommended practices are *voluntary* (unless, of course, they are adopted by government regulatory or procurement authorities). The application of a standard or recommended practice is solely within the discretion and professional judgment of the user of the document.

Each AAMI standard or recommended practice reflects the collective expertise of a committee of health care professionals and industrial representatives, whose work has been reviewed nationally (and sometimes internationally). As such, the consensus recommendations embodied in a standard or recommended practice are intended to respond to clinical needs and, ultimately, to help ensure patient safety. A standard or recommended practice is limited, however, in the sense that it responds generally to perceived risks and conditions that may not always be relevant to specific situations. A standard or recommended practice is an important *reference* in responsible decision-making, but it should never *replace* responsible decision-making.

Despite periodic review and revision (at least once every five years), a standard or recommended practice is necessarily a static document applied to a dynamic technology. Therefore, a standards user must carefully review the reasons why the document was initially developed and the specific rationale for each of its provisions. This review will reveal whether the document remains relevant to the specific needs of the user.

Particular care should be taken in applying a product standard to existing devices and equipment, and in applying a recommended practice to current procedures and practices. While observed or potential risks with existing equipment typically form the basis for the safety and performance criteria defined in a standard, professional judgment must be used in applying these criteria to existing equipment. No single source of information will serve to identify a particular product as "unsafe". A voluntary standard can be used as one resource, but the ultimate decision as to product safety and efficacy must take into account the specifics of its utilization and, of course, cost-benefit considerations. Similarly, a recommended practice should be analyzed in the context of the specific needs and resources of the individual institution or firm. Again, the rationale accompanying each AAMI standard and recommended practice is an excellent guide to the reasoning and data underlying its provision.

In summary, a standard or recommended practice is truly useful only when it is used in conjunction with other sources of information and policy guidance and in the context of professional experience and judgment.

INTERPRETATIONS OF AAMI STANDARDS AND RECOMMENDED PRACTICES

Requests for interpretations of AAMI standards and recommended practices must be made in writing, to the AAMI Vice President, Standards Policy and Programs. An official interpretation must be approved by letter ballot of the originating committee and subsequently reviewed and approved by the AAMI Standards Board. The interpretation will become official and representation of the Association only upon exhaustion of any appeals and upon publication of notice of interpretation in the "Standards Monitor" section of the *AAMI News*. The Association for the Advancement of Medical Instrumentation disclaims responsibility for any characterization or explanation of a standard or recommended practice which has not been developed and communicated in accordance with this procedure and which is not published, by appropriate notice, as an *official interpretation* in the *AAMI News*.

American National Standard

ANSI/AAMI/IEC 60601-2-2:2017
(Revision to ANSI/AAMI/IEC 60601-2-2:2009)



**Medical electrical equipment—
Part 2-2: Particular requirements for the basic safety
and essential performance of high frequency surgical
equipment and high frequency surgical accessories**

This is a preview edition of an AAMI guidance document and is intended to allow potential purchasers to evaluate the content of the document before making a purchasing decision.

For a complete copy of this AAMI document, contact AAMI at +1-977-249-8226 or visit www.aami.org.

Approved 11 June 2017 by
AAMI

Approved 30 June 2017 by
American National Standards Institute, Inc.

Abstract: Applies to medical electrical equipment which generates high frequency currents intended for the performance of surgical tasks and to surgical accessories intended to conduct, supplement or monitor high frequency energy applied to the patient from high frequency surgical equipment.

Keywords: electromedical equipment, high frequency, high frequency surgical equipment, HF surgical accessory, HF patient circuit

AAMI Standard

This Association for the Advancement of Medical Instrumentation (AAMI) standard implies a consensus of those substantially concerned with its scope and provisions. The existence of an AAMI standard does not in any respect preclude anyone, whether they have approved the standard or not, from manufacturing, marketing, purchasing, or using products, processes, or procedures not conforming to the standard. AAMI standards are subject to periodic review, and users are cautioned to obtain the latest editions.

CAUTION NOTICE: This AAMI standard may be revised or withdrawn at any time. AAMI procedures require that action be taken to reaffirm, revise, or withdraw this standard no later than five years from the date of publication. Interested parties may obtain current information on all AAMI standards by calling or writing AAMI, or by visiting the AAMI website at www.aami.org.

All AAMI standards, recommended practices, technical information reports, and other types of technical documents developed by AAMI are *voluntary*, and their application is solely within the discretion and professional judgment of the user of the document. Occasionally, voluntary technical documents are adopted by government regulatory agencies or procurement authorities, in which case the adopting agency is responsible for enforcement of its rules and regulations.



PREVIEW COPY

This is a preview edition of an AAMI guidance document and is intended to allow potential purchasers to evaluate the content of the document before making a purchasing decision.

Published by

AAMI

4301 N. Fairfax Drive, Suite 301
Arlington, VA 22203-1633

www.aami.org

For a complete copy of this AAMI document, contact AAMI at
+1-977-249-8226 or visit www.aami.org.

© 2017 by the Association for the Advancement of Medical Instrumentation

All Rights Reserved

This publication is subject to copyright claims of ISO and AAMI. No part of this publication may be reproduced or distributed in any form, including an electronic retrieval system, without the prior written permission of AAMI. All requests pertaining to this document should be submitted to AAMI. It is illegal under federal law (17 U.S.C. § 101, *et seq.*) to make copies of all or any part of this document (whether internally or externally) without the prior written permission of the Association for the Advancement of Medical Instrumentation. Violators risk legal action, including civil and criminal penalties, and damages of \$100,000 per offense. For permission regarding the use of all or any part of this document, complete the reprint request form at www.aami.org or contact AAMI, 4301 N. Fairfax Drive, Suite 301, Arlington, VA 22203-1633. Phone: +1-703-525-4890; Fax: +1-703-525-1067.

Printed in the United States of America

ISBN 978-1-57020-664-1

Contents

Page

Glossary of equivalent standards	iv
Committee representation	v
Background of ANSI/AAMI adoption of IEC 60601-2-2:2017	vi
Foreword	vii
Introduction.....	ix
201.1 Scope, object and related standards	1
201.2 Normative references	2
201.3 Terms and definitions.....	3
201.4 General requirements	6
201.5 General requirements for testing of ME EQUIPMENT	7
201.6 Classification of ME EQUIPMENT and ME SYSTEMS	7
201.7 ME EQUIPMENT identification, marking and documents.....	8
201.8 Protection against electrical HAZARDS from ME EQUIPMENT	12
201.9 Protection against mechanical hazards of ME EQUIPMENT and ME SYSTEMS.....	26
201.10 Protection against unwanted and excessive radiation HAZARDS	26
201.11 Protection against excessive temperatures and other HAZARDS.....	26
201.12 Accuracy of controls and instruments and protection against hazardous outputs	27
201.13 Hazardous situations and fault conditions for ME EQUIPMENT	33
201.14 Programmable electrical medical systems (pems)	34
201.15 Construction of ME EQUIPMENT	34
201.16 ME SYSTEMS	38
201.17 Electromagnetic compatibility of ME EQUIPMENT and ME SYSTEMS	38
202 * ELECTROMAGNETIC DISTURBANCES – Requirements and tests.....	38
202.2 Normative references	38
202.3 Terms and definitions.....	38
202.5.2.2.4 Requirements applicable to ME EQUIPMENT that includes RF transmitters	38
202.5.2.2.6 Requirements applicable to ME EQUIPMENT and ME SYSTEMS that claim compatibility with hf surgical equipment	38
202.7 Electromagnetic emissions requirements for ME EQUIPMENT and ME SYSTEMS	39
202.7.1.2 Operating modes	39
202.8 Electromagnetic IMMUNITY requirements for ME EQUIPMENT and ME SYSTEMS.....	39
202.8.1 General.....	39
202.101 Index of defined terms.....	39
208 General requirements, tests and guidance for alarm systems in medical electrical equipment and medical electrical systems	39
Annexes.....	40
Annex AA (informative) Particular guidance and rationale.....	41
Annex BB (informative) ELECTROMAGNETIC DISTURBANCES created by HF SURGICAL EQUIPMENT	64
Bibliography.....	72
Index of defined terms used in this particular standard	73

Glossary of equivalent standards

International Standards adopted in the United States may include normative references to other International Standards. AAMI maintains a current list of each International Standard that has been adopted by AAMI (and ANSI). Available on the AAMI website at the address below, this list gives the corresponding U.S. designation and level of equivalency to the International Standard.

www.aami.org/standards/glossary.pdf



PREVIEW COPY

This is a preview edition of an AAMI guidance document and is intended to allow potential purchasers to evaluate the content of the document before making a purchasing decision.

For a complete copy of this AAMI document, contact AAMI at +1-977-249-8226 or visit www.aami.org.

Committee representation

Association for the Advancement of Medical Instrumentation

High Frequency Therapeutic Device Committee

The adoption of IEC 60601-2-2 as a revision to an existing national standard, ANSI/AAM/IEC 60601-2-2:2009, was initiated by the AAMI High Frequency Therapeutic Device Committee. U.S. representatives played an active role in developing the IEC standard.

Committee approval of the standard does not necessarily imply that all committee members voted for its approval.

At the time this document was published, **the AAMI High Frequency Therapeutic Device Committee** had the following members:

Cochair: Richard Sisken

Members: Long Chen, FDA/CDRH
Roberto Del Cid, Conmed Corporation
Jeffrey Eggleston, Medtronic Inc.
Bruce Hansel, ECRI
Samuel Netherly
Richard Sisken, Cook Advanced Technologies
Kok-Swang Tan, Medical Devices Bureau – Health Canada
Lance Tinder, Stryker Instruments
Jeffrey Wisner, 3M Healthcare
Jean Woloszko, Smith & Nephew, Inc.

Alternates: David Keppel, Medtronic Inc.
Justin Metcalf, MED Institute
Victor Myers, Halyard Health
David Talley, FDA/CDRH
Michael Walt, 3M Healthcare
Spencer Zhao, Halyard Health



PREVIEW COPY

This is a preview edition of an AAMI guidance document and is intended to allow potential purchasers to evaluate the content of the document before making a purchasing decision.

NOTE—Participation by federal agency representatives in the development of this document does not constitute endorsement by the federal government or any of its agencies.

For a complete copy of this AAMI document, contact AAMI at +1-977-249-8226 or visit www.aami.org.

Background of ANSI/AAMI adoption of IEC 60601-2-2:2017

As indicated in the foreword to the main body of this document (page vii), the International Electrotechnical Commission (IEC) is a worldwide organization for standardization comprising of all national electrotechnical committees. The United States is one of the IEC members that took an active role in the development of this amendment, which was developed by the IEC Technical Subcommittee 62D, Electromedical equipment.

U.S. participation in IEC/SC 62D is organized through the U.S. Technical Advisory Group (TAG) for IEC/SC 62D, administered by AAMI on behalf of the U.S. National Committee of the American National Standards Institute. The U.S. made a considerable contribution to this International Standard.

AAMI encourages its committees to harmonize their work with International Standards to the extent possible. Upon review of the final draft of IEC 60601-2-2, the AAMI HF, High Frequency Therapeutic Device Committee decided to adopt it verbatim.

AAMI and ANSI procedures require that standards be reviewed every five years and, if necessary, revised to reflect technological advances that may have occurred since publication.

AAMI (and ANSI) have adopted other IEC and ISO standards. See the Glossary of Equivalent Standards for a list of IEC and ISO standards adopted by AAMI, which gives the corresponding U.S. designation and the level of equivalency with the IEC and ISO standard.

NOTE Users of this standard are advised that this document is an AAMI identical adoption of an IEC document and that the following international conventions have been carried over to the AAMI publication:

- British English spelling (e.g. colour instead of color)
- Use of SI units (e.g. metres instead of feet, Celsius instead of Fahrenheit, etc.)
- Decimal comma instead of a decimal point (e.g. 1 000,15 instead of 1,000.15)

The concepts incorporated in this standard should not be considered inflexible or static. This standard, like any other, must be reviewed and updated periodically to assimilate progressive technological developments. To remain relevant, it must be modified as advances are made in technology and as new data come to light.

This standard reflects the conscientious efforts of concerned health care professionals and medical device manufacturers to develop a standard for those performance levels that can be reasonably achieved at this time.

Suggestions for improving this standard are invited. Comments and suggested revisions should be sent to Standards Department, AAMI, 4301 N. Fairfax Drive, Suite 301, Arlington, VA 22203-1633.

For a complete copy of this AAMI document, contact AAMI at

NOTE This background does not contain provisions of the American National Standard, *Medical electrical equipment—Part 2-2: Particular requirements for the basic safety and essential performance of high frequency surgical equipment and high frequency surgical accessories*, (ANSI/AAMI/IEC 60601-2-2), but it does provide important information about the development and intended use of the document.

NOTE Beginning with the foreword on page vii, this American National Standard is identical to IEC 60601-2-2.

Foreword

- 1) The International Electrotechnical Commission (IEC) is a worldwide organization for standardization comprising all national electrotechnical committees (IEC National Committees). The object of IEC is to promote international co-operation on all questions concerning standardization in the electrical and electronic fields. To this end and in addition to other activities, IEC publishes International Standards, Technical Specifications, Technical Reports, Publicly Available Specifications (PAS) and Guides (hereafter referred to as "IEC Publication(s)"). Their preparation is entrusted to technical committees; any IEC National Committee interested in the subject dealt with may participate in this preparatory work. International, governmental and non-governmental organizations liaising with the IEC also participate in this preparation. IEC collaborates closely with the International Organization for Standardization (ISO) in accordance with conditions determined by agreement between the two organizations.
- 2) The formal decisions or agreements of IEC on technical matters express, as nearly as possible, an international consensus of opinion on the relevant subjects since each technical committee has representation from all interested IEC National Committees.
- 3) IEC Publications have the form of recommendations for international use and are accepted by IEC National Committees in that sense. While all reasonable efforts are made to ensure that the technical content of IEC Publications is accurate, IEC cannot be held responsible for the way in which they are used or for any misinterpretation by any end user.
- 4) In order to promote international uniformity, IEC National Committees undertake to apply IEC Publications transparently to the maximum extent possible in their national and regional publications. Any divergence between any IEC Publication and the corresponding national or regional publication shall be clearly indicated in the latter.
- 5) IEC provides no marking procedure to indicate its approval and cannot be rendered responsible for any equipment declared to be in conformity with an IEC Publication.
- 6) All users should ensure that they have the latest edition of this publication.
- 7) No liability shall attach to IEC or its directors, employees, servants or agents including individual experts and members of its technical committees and IEC National Committees for any personal injury, property damage or other damage of any nature whatsoever, whether direct or indirect, or for costs (including legal fees) and expenses arising out of the publication, use of, or reliance upon, this IEC Publication or any other IEC Publications.
- 8) Attention is drawn to the Normative references cited in this publication. Use of the referenced publications is indispensable for the correct application of this publication.
- 9) Attention is drawn to the possibility that some of the elements of this IEC Publication may be the subject of patent rights. IEC shall not be held responsible for identifying any of all such patent rights.

International standard IEC 60601-2-2 has been prepared by IEC subcommittee 62D: Electromedical equipment, of IEC technical committee 62: Electrical equipment in medical practice.

This sixth edition cancels and replaces the fifth edition published in 2009. This edition constitutes a technical revision. This edition includes the following significant technical changes with respect to the previous edition:

- refinement and additions to the defined terms;
- additional separation of the requirements for HF surgical equipment and HF surgical accessories;
- a new requirement for adult neutral electrodes to be contact quality monitoring neutral electrodes;
- new requirements for devices that have or use a high current mode.

The text of this particular standard is based on the following documents:

FDIS	Report on voting
62D/1427/FDIS	62D/1442/RVD

Full information on the voting for the approval of this particular standard can be found in the report on voting indicated in the above table.

This publication has been drafted in accordance with the ISO/IEC Directives, Part 2.

In this standard, the following print types are used:

- requirements and definitions: roman type;
- *test specifications: italic type;*
- informative material appearing outside of tables, such as notes, examples and references: in smaller type. Normative text of tables is also in a smaller type;
- TERMS DEFINED IN CLAUSE 3 OF THE GENERAL STANDARD, IN THIS PARTICULAR STANDARD OR AS NOTED: SMALL CAPITALS.

In referring to the structure of this standard, the term

- “clause” means one of the seventeen numbered divisions within the table of contents, inclusive of all subdivisions (e.g. Clause 7 includes subclauses 7.1, 7.2, etc.);
- “subclause” means a numbered subdivision of a clause (e.g. 7.1, 7.2 and 7.2.1 are all subclauses of Clause 7).

References to clauses within this standard are preceded by the term “Clause” followed by the clause number. References to subclauses within this standard are by number only.

In this standard, the conjunctive “or” is used as an “inclusive or” so a statement is true if any combination of the conditions is true.

Advancing Safety in Healthcare Technology

The verbal forms used in this standard conform to usage described in Clause 7 of the ISO/IEC Directives, Part 2. For the purposes of this standard, the auxiliary verb:

- “shall” means that compliance with a requirement or a test is mandatory for compliance with this standard;
- “should” means that compliance with a requirement or a test is recommended but is not mandatory for compliance with this standard;
- “may” is used to describe a permissible way to achieve compliance with a requirement or test.

An asterisk (*) as the first character of a title or at the beginning of a paragraph or table title indicates that there is guidance or rationale related to that item in Annex AA.

For a complete copy of this AAMI document, contact AAMI at

A list of all parts of the IEC 60601 series, published under the general title *Medical electrical equipment*, can be found on the IEC website.

The committee has decided that the contents of this publication will remain unchanged until the stability date indicated on the IEC website under "<http://webstore.iec.ch>" in the data related to the specific publication. At this date, the publication will be

- reconfirmed,
- withdrawn,
- replaced by a revised edition, or
- amended.

Introduction

The minimum safety requirements specified in this particular standard are considered to provide for a practical degree of safety in the operation of HIGH FREQUENCY SURGICAL EQUIPMENT.

This particular standard amends and supplements IEC 60601-1:2005 and Amendment 1:2012, *Medical electrical equipment – Part 1: General requirements for basic safety and essential performance*, hereinafter referred to as the general standard (see 201.1.4).

The requirements are followed by specifications for the relevant tests.

A "Particular guidance and rationale" section giving some explanatory notes, where appropriate, about the more important requirements is included in Annex AA.

Clauses or subclauses for which there are explanatory notes in Annex AA are marked with an asterisk (*).

It is considered that a knowledge of the reasons for these requirements will not only facilitate the proper application of the standard but will, in due course, expedite any revision necessitated by changes in clinical practice or as a result of developments in technology. However, this annex does not form part of the requirements of this document.



PREVIEW COPY

This is a preview edition of an AAMI guidance document and is intended to allow potential purchasers to evaluate the content of the document before making a purchasing decision.

For a complete copy of this AAMI document, contact AAMI at +1-977-249-8226 or visit www.aami.org.



PREVIEW COPY

This is a preview edition of an AAMI guidance document and is intended to allow potential purchasers to evaluate the content of the document before making a purchasing decision.

For a complete copy of this AAMI document, contact AAMI at +1-977-249-8226 or visit www.aami.org.

Medical electrical equipment— Part 2-2: Particular requirements for the basic safety and essential performance of high frequency surgical equipment and high frequency surgical accessories

201.1 Scope, object and related standards

Clause 1 of the general standard¹ applies, except as follows:

201.1.1 * Scope

Replacement:

This part of IEC 60601 applies to the BASIC SAFETY and ESSENTIAL PERFORMANCE of HF SURGICAL EQUIPMENT and HF SURGICAL ACCESSORIES as defined in 201.3.224 and 201.3.223.

HF SURGICAL EQUIPMENT having a RATED OUTPUT POWER not exceeding 50 W (for example for micro-coagulation, or for use in dentistry or ophthalmology) is exempt from certain of the requirements of this particular standard. These exemptions are indicated in the relevant requirements.

201.1.2 Object

Replacement: This is a preview edition of an AAMI guidance document and is

intended to allow potential purchasers to evaluate the content of the document before making a purchasing decision. The object of this particular standard is to establish particular BASIC SAFETY and ESSENTIAL PERFORMANCE requirements for HF SURGICAL EQUIPMENT and HF SURGICAL ACCESSORIES as defined in 201.3.224 and 201.3.223.

201.1.3 Collateral standards

Addition:

This particular standard refers to those applicable collateral standards that are listed in Clause 2 of the general standard and Clause 201.2 of this particular standard.

IEC 60601-1-2:2014 and IEC 60601-1-8:2006 apply as modified in Clauses 202 and 208 respectively. IEC 60601-1-3, IEC 60601-1-10 and IEC 60601-1-11 do not apply. All other published collateral standards in the IEC 60601-1 series apply as published.

201.1.4 Particular standards

Replacement:

In the IEC 60601 series, particular standards may modify, replace or delete requirements contained in the general standard and collateral standards as appropriate for the particular ME EQUIPMENT under consideration, and may add other BASIC SAFETY and ESSENTIAL PERFORMANCE requirements.

¹ The general standard is IEC 60601-1:2005/AMD1:2012, *Medical electrical equipment—Part 1: General requirements for basic safety and essential performance*.